

**CITY OF DARDENNE PRAIRIE
2032 HANLEY ROAD
DARDENNE PRAIRIE, MO 63368**

**BOARD OF ALDERMEN
WORKSHOP AGENDA
JULY 01, 2015
5:30 p.m.**

CALL MEETING TO ORDER

PLEDGE OF ALLEGIANCE

ITEMS FOR DISCUSSION AND CONSIDERATION

1. Procedure to Disclose Potential Conflicts of Interest (Mayor Zucker)
2. Short Term Goals (0 – 3 year projects)
3. Long Term Goals (3 – 10 year projects)
4. Review of Board of Aldermen Meeting Agenda (07-01-15)

STAFF COMMUNICATIONS

1. City Attorney
2. City Engineer
3. Staff
4. Aldermen
5. Mayor

CLOSED SESSION

Roll call vote to hold closed session pursuant to RSMo 610.021 section _____
Litigation and Privileged Communications (1)
Real Estate (2)
Personnel (3)
Labor (9)
Bid Specs (11)
Audit (17)

RETURN TO REGULAR MEETING AGENDA

ADJOURNMENT

RBA FORM (OFFICE USE)

MEETING DATE: **July 1, 2015**

Regular () Work Session ()

ATTACHMENT: YES () NO ()

Contract () Ordinance () Other ()

Request for Board Action
By: Mayor ZUCKER

- **Description: Renew the City's Conflict of Interest**
-

- **Recommendation: Staff – Approve () Disapprove ()**
-

- **Summary/Explanation: The Missouri General Assembly adopted an ethics/personal financial disclosure law affecting political subdivisions, such as Dardenne Prairie and authorized cities to adopt their own version. A city's own version must be re-adopted every two years.**
 - **DP adopted its own ordinance #1692 in September 2013.**
 - **See the attached letter from the Missouri Ethics Commission.**
 - **Re-adopting the ordinance enables filing officials to use the short form disclosure document. Failure to re-adopt the ordinance will result in the application of the State's required use of the long form disclosure document.**
 - **The proposed ordinance is the same as the existing ordinance**
-

- **Budget Impact:** (revenue generated, estimated cost, CIP item, etc.)

NONE

RBA requested by: Mayor Zucker



MISSOURI ETHICS COMMISSION

P.O. Box 1370
Jefferson City, MO 65102
www.mec.mo.gov
(573) 751-2020 / (800) 392-8660

James Klahr
Executive Director

6/12/2015

Pamela Fogarty
City of Dardenne Prairie
2032 Hanley Road
Dardenne Prairie, MO 63368

Re: Conflict of Interest Ordinance/Resolution/Policy Expiration (Ordinance)

The Conflict of Interest ordinance for your political subdivision expires this year. If you wish to keep this policy in effect, you must re-adopt the ordinance at an open meeting by September 15. Within 10 days of adoption, you are required to submit a certified copy (example: signed by presiding officer, board member, and attested by the clerk) to the Missouri Ethics Commission.

When adopting an ordinance, you may also want to consider penalties in addition to the minimum requirements established in §105.485.4, RSMo. Any penalties that the subdivision is authorized to enforce should be established by the ordinance. ***Upon adopting the ordinance, the political subdivision becomes responsible for enforcing the ordinance and any penalties.***

If adopting an ordinance, all elected, appointed, and decision-making personnel, as well as candidates for public office, are required to file a Financial Interest Statement for Political Subdivisions (short form) if they have any transactions over \$500 with the political subdivision.

If you do not re-adopt the ordinance, all elected, appointed, and decision-making personnel, as well as candidates for public office, are required to file a Personal Financial Disclosure Statement (long form).

If this reminder was sent to you in error, please contact our office at PFDFOnline@mec.mo.gov or 800-392-8660.

Thank you.

Betty Lohmann
Reporting Specialist

CITY OF DARDENNE PRAIRIE
2032 HANLEY ROAD
DARDENNE PRAIRIE, MO 63368

BOARD OF ALDERMEN
MEETING AGENDA
JULY 01, 2015
7:00 p.m.

CALL MEETING TO ORDER

PLEDGE OF ALLEGIANCE

INVOCATION

OATH OF OFFICE

ROLL CALL

Mayor Zucker
Alderman Klingerman
Alderman Nay
Alderman Santos
Alderman Gotway
Alderman Koch
Alderman Stipes

OPEN FORUM

CONSENT AGENDA

1. Board of Aldermen Special Meeting Minutes 06-17-15
2. Workshop Summary 06-17-15
3. Bills for Approval 07-01-15
4. Escrow Release – Vantage Homes- BaratHaven Subdivision, Villages A and B - \$60,484.00
5. Escrow Release – Bridgewater Communities – BaratHaven Subdivision, Village B, Lots B1, B2, and B21-B53 - \$36,070.45
6. Escrow Release – Clement Construction Company- Leighton Hollow Lots 8A, 9A, 10A, 11A, and 18A - \$10,232.05

ITEMS REMOVED FROM CONSENT AGENDA

NEW BUSINESS

1. Bill #15-25
AN ORDINANCE OF THE CITY OF DARDENNE PRAIRIE, MISSOURI ESTABLISHING
A PROCEDURE TO DISCLOSE POTENTIAL CONFLICTS OF INTEREST FOR
CERTAIN MUNICIPAL OFFICIALS

STAFF COMMUNICATIONS

1. City Attorney
2. City Engineer
3. Staff
4. Aldermen
5. Mayor

CLOSED SESSION

Roll call vote to hold closed session pursuant to RSMo 610.021 section _____

Litigation and Privileged Communications (1)

Real Estate (2)

Personnel (3)

Labor (9)

Bid Specs (11)

Audit (17)

RETURN TO REGULAR MEETING AGENDA

ADJOURNMENT

The City of Dardenne Prairie Board of Aldermen special meeting was called to order at 7:33 p.m. The meeting was held at Dardenne Prairie City Hall located at 2032 Hanley Road.

The meeting was opened with the Pledge of Allegiance.

Present at roll call were Mayor Zucker, Aldermen Klingerman, Nay, Gotway and Santos. Alderman Koch participated by phone. Also present were City Clerk Kim Clark, City Engineer Luke Kehoe and City Attorney John Young. Staff member Jeff Amelong was also in attendance.

OPEN FORUM – No one present to speak.

NEW BUSINESS

A motion was made by Alderman Klingerman, Seconded by Alderman Nay to adopt Resolution #250. Motion passed unanimously.

Resolution #250

A RESOLUTION OF THE BOARD OF ALDERMEN OF THE CITY OF DARDENNE PRAIRIE, MISSOURI, REGARDING THE APPOINTMENT OF ELLIOTT STIPES TO FILL A VACANCY ON THE BOARD OF ALDERMEN

A motion was made by Alderman Gotway, Seconded by Alderman Santos to adjourn the meeting at 7:38 p.m. Motion passed unanimously.

Respectfully submitted,

Kim Clark, City Clerk

WORKSHOP SUMMARY

JUNE 17, 2015

The City of Dardenne Prairie workshop session was called to order at 5:41 p.m. The meeting was held at Dardenne Prairie City Hall located at 2032 Hanley Road.

The meeting was opened with the Pledge of Allegiance.

The following were in attendance: Mayor Zucker, Aldermen Nay, Gotway, Santos and Klingerman. Alderman Koch participated by phone. Also present were City Clerk Kim Clark, City Engineer Luke Kehoe and City Attorney John Young. Staff members Jeff Amelong and Bob Easley were also in attendance.

A motion was made by Alderman Gotway, Seconded by Alderman Nay to hold a closed session pursuant to RSMo 610.021 section (1) Litigation and Privileged Communications. Motion passed unanimously. Roll call was as follows:

Alderman Koch – Aye	Alderman Klingerman – Aye
Alderman Nay – Aye	Alderman Santos – Aye
Alderman Gotway – Aye	

CLOSED SESSION

A motion was made by Alderman Klingerman, Seconded by Alderman Santos to return to regular meeting agenda. Motion passed unanimously.

ITEMS FOR DISCUSSION AND CONSIDERATION

1. Presentation of the Independent Auditor's Report & Financial Statements by Botz, Deal & Company, P.C.

Michelle Graham from Botz, Deal & Company, P.C. was in attendance to present the audit.

2. Short Term Goals (0 – 3 year projects)
3. Long Term Goals (3 – 10 year projects)
4. Review of Board of Aldermen Meeting Agenda (06-17-15)

STAFF COMMUNICATIONS

City Engineer Luke Kehoe provided an update on the two funding applications being considered by the St. Charles County Road Board.

Bob Easley briefed the Board on the condition of the sod at City Hall and Bluebird Park. City Clerk/Treasurer Kim Clark provided the Board with a tutorial on the city's finances and debt.

Alderman Gotway requested the procedures on the pickup of tree limbs and also inquired as to the acceptance of credit cards by the city.

Alderman Koch informed the Board of a recent meeting with the Dardenne Athletic Association. The association is requesting the City spray for weeds and perform tree trimming. The consensus of the Board was to proceed at an estimated expense of \$1,381.00.

Mayor Zucker reminded the Board of the senior event being held on June 18th and also mentioned he has requested staff prepare a list of the IT issues.

WORKSHOP SUMMARY

JUNE 17, 2015

ADJOURNMENT

A motion was made by Alderman Gotway, Seconded by Alderman Nay to adjourn the meeting at 7:16 p.m. Motion passed unanimously.

Respectfully submitted,

Kim Clark, City Clerk

BILLS FOR APPROVAL
7/1/2015

1 Alderman Blake Nay	July, 2015	375.00
2 Alderman Dan Koch	July, 2015	375.00
3 Alderman Doug Santos	July, 2015	375.00
4 Alderman John Gotway	July, 2015	375.00
5 Alderman Kevin Klingerman	July, 2015	375.00
6 Alderman Stipes	July, 2015	375.00
7 AT & T	Building Dept. Software Monthly Data	41.73
8 Berry's Welding	Light pole repair-Hanley and HWY N	350.00
9 Bridgewater Communities	Escrow Release-BaratHaven, Lots B1, B2, B21-B53	36070.45
10 Clement Construction Co	Escrow Release-Leighton Hollow Lots 8A,9A,10A,11A,18A	10232.05
11 Cuivre River Electric	Light at Weldon Spring to 06/19/15	38.24
12 Cuivre River Electric	Georgetown Park to 06/17/15	56.56
13 Cuivre River Electric	St. Williams street lights to 06/17/15	23.54
14 Cuivre River Electric	Traffic Signal at Felse/Hanley to 06/17/15	66.00
15 Dan Koch	Conference Meal Reimbursement	39.21
16 Duckett Creek Sanitary District	City Hall Services to 5/31/15	45.75
17 Family Support Payment Center	7/3 Payroll	225.69
18 FP Mailing Solutions	Postage Meter Final Bill	161.70
19 Insurance: Anthem Blue Cross/Blue Shield	Health: July, 2015	6066.70
20 Insurance: Principal Life	Life: June, 2015	185.01
21 LAGERS	June 2015	2797.20
22 Martin Trophy	Name Plates & Plaques	210.00
23 Mayor David C. Zucker	July, 2015	1000.00
24 MO Rural Services	Workers Comp	497.00
25 nCisive Consulting	Seagate External Drive, training, computer questionnaire	426.00
26 IRS-Dept. of Treasury	Form 720-PCORI Fees	14.56
27 Office Essentials	Office Supplies	256.99
28 Payroll	Payroll: 06-19-15	16863.93
29 PNC	Credit Card charges	474.89
30 Rottler Pest and Lawn Solutions	COM PC-Quarterly application (6/15/15)	99.00
31 Sprint	Amelong cell phone	67.21
32 Stephanie Dawson	Pavilion Rental Cancellation Refund	100.00
33 Stratus Building Solutions	City Hall Cleaning: July, 2015	385.00
34 Sue Gable	Garage Rent: July, 2015	450.00
35 TASC	2014 HRA Funding	267.54
36 The Law Office of Dennis Chassantol	Municipal Judge: July, 2015	400.00
37 Thoele	Gasoline Charges to 5-28-15	537.96
38 UMB Bank, NA	June, 2015 TDD Sales Tax Payment	27112.30
39 Vantage Homes	Escrow Release-BaratHaven Villages A and B	60484.00
40 WM Financial Strategies	Financial advisory services for continuing disclosure	100.00
		\$168,396.21

Approved by Board of Aldermen 07-01-15

Mayor David C. Zucker



City Engineer
Phone 636.978.6008
Fax 636.898.0923
Engineer @DardennePrairie.org

City Hall
2032 Hanley Road
Dardenne Prairie, MO 63368
Phone 636.561.1718
Fax 636.625.0077

June 24, 2015

Mayor David C. Zucker
City of Dardenne Prairie
2032 Hanley Rd.
Dardenne Prairie, MO 63368

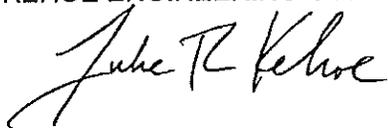
Subject: Replacement Escrow Release No. 4 (Final Release)
BaratHaven Subdivision, Villages A and B (Vantage Homes, Inc.)
Dardenne Prairie, Missouri

Dear Mayor Zucker:

Per the request of Vantage Homes, Inc., and our inspection of the subject site, we recommend a final release of the escrow established and held for the landscaping, final grading/seeding/sod and siltation control of the subject project in the amount of \$60,484.00. The attached escrow account release form details the total escrow amounts and the amount recommended for this final release.

If you have any questions, please feel free to contact me.

Sincerely,
KEHOE ENGINEERING COMPANY INC.



Luke R. Kehoe, PE, CFM
City Engineer

Attachment

cc: Board of Aldermen
Kim Clark, City Clerk
Jeremy Roth, Vantage Homes, Inc.

DARDENNE



PRAIRIE

www.DardennePrairie.org

City Engineer
Phone 636.978.6008
Fax 636.898.0923
Engineer@DardennePrairie.org

City Hall
2032 Hanley Road
Dardenne Prairie, MO 63368
Phone 636.561.1718
Fax 636.625.0077

Escrow Account Summary
970021_BaratHaven Subdivision
Village A & B - Replacement Escrow
Vantage Homes, Inc.

Escrow Release No.: 4

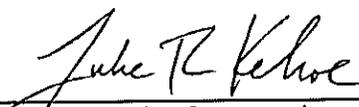
Last Updated: 6/24/2015

Item	Original Escrow Amount	Previously Released Amount	Recommendation Reimbursed This Period	Released To Date	Outstanding Balance
Streets/Street Signs	\$23,273.75	\$23,273.75	\$0.00	100%	\$0.00
Storm Sewers	\$11,616.60	\$11,616.60	\$0.00	100%	\$0.00
Rough Grading	\$1,565.14	\$1,565.14	\$0.00	100%	\$0.00
Landscaping	\$19,000.00	\$0.00	\$19,000.00	100%	\$0.00
Final Grading/Seeding/Sod	\$77,000.00	\$48,500.00	\$28,500.00	100%	\$0.00
Siltation Control	\$12,984.00	\$0.00	\$12,984.00	100%	\$0.00
Common Amenities - (Village C Fence)	\$6,020.00	\$6,020.00	\$0.00	100%	\$0.00
TOTALS	\$151,459.49	\$90,975.49	\$60,484.00	100%	\$0.00

This recommendation requires approval by the Board of Aldermen

This release has been approved by the Board of Aldermen

Prepared by:


Kehoe Engineering Company, Inc.
Luke R. Kehoe, PE, City Engineer



City Engineer
Phone 636.978.6008
Fax 636.898.0923
Engineer @DardennePrairie.org

City Hall
2032 Hanley Road
Dardenne Prairie, MO 63368
Phone 636.561.1718
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June 24, 2015

Mayor David C. Zucker
City of Dardenne Prairie
2032 Hanley Rd.
Dardenne Prairie, MO 63368

Subject: Replacement Escrow Release No. 1
BaratHaven Subdivision, Village B (Bridgewater Communities - Lots B1,B2,B21-B53)
Dardenne Prairie, Missouri

Dear Mayor Zucker:

Per the request of Bridgewater Communities, and our inspection of the subject site, we recommend a final release of the escrow established and held for the streets/street signs, storm sewers, rough grading, landscaping, final grading/seeding/sod and siltation control of the subject project in the amount of \$36,070.45. The attached escrow account release form details the total escrow amounts and the amount recommended for this release.

If you have any questions, please feel free to contact me.

Sincerely,
KEHOE ENGINEERING COMPANY INC.



Luke R. Kehoe, PE, CFM
City Engineer

Attachment

cc: Board of Aldermen
Kim Clark, City Clerk
Tim Miller, Bridgewater Communities



City Engineer
 Phone 636.978.6008
 Fax 636.898.0923
 Engineer@DardennePrairie.org

City Hall
 2032 Hanley Road
 Dardenne Prairie, MO 63368
 Phone 636.561.1718
 Fax 636.625.0077

Escrow Account Summary

Escrow Release No.: 1

970022_BaratHaven Subdivision

Last Updated: 6/24/2015

Village A & B - Replacement Escrow

Bridgewater Communities - Lots B1,B2,B21-B53

Item	Original Escrow Amount	Previously Released Amount	Recommendation		Outstanding Balance
			Reimbursed This Period	Released To Date	
Streets/Street Signs	\$4,929.75	\$0.00	\$4,929.75	100%	\$0.00
Storm Sewers	\$2,124.25	\$0.00	\$2,124.25	100%	\$0.00
Rough Grading	\$608.45	\$0.00	\$608.45	100%	\$0.00
Landscaping	\$5,200.00	\$0.00	\$5,200.00	100%	\$0.00
Final Grading/Seeding/Sod	\$22,000.00	\$0.00	\$19,500.00	89%	\$2,500.00
Siltation Control	\$3,708.00	\$0.00	\$3,708.00	100%	\$0.00
Common Amenities - (Village C Fence)	\$0.00	\$0.00	\$0.00	0%	\$0.00
TOTALS	\$38,570.45	\$0.00	\$36,070.45	94%	\$2,500.00

This recommendation requires approval by the Board of Aldermen

This release has been approved by the Board of Aldermen

Prepared by: *Luke R. Kehoe*
 Kehoe Engineering Company, Inc.
 Luke R. Kehoe, P.E., City Engineer

DARDENNE



www.DardennePrairie.org

City Engineer
Phone 636.978.6008
Fax 636.898.0923
Engineer @DardennePrairie.org

City Hall
2032 Hanley Road
Dardenne Prairie, MO 63368
Phone 636.561.1718
Fax 636.625.0077

June 25, 2015

Mayor David C. Zucker
City of Dardenne Prairie
2032 Hanley Rd.
Dardenne Prairie, MO 63368

Subject: Construction Deposit Established by Clement Construction Company - Release No. 1
Leighton Hollow Lot 1A, 2A, 4A, 5A, 7A, 8A, 9A, 10A, 11A, 13A, 17A and 18A
Dardenne Prairie Project No. 970049

Dear Mayor Zucker:

Per the request of Clement Construction Company, and our inspection of the subject lots, we recommend a 90% release of the Construction Deposit amount established by Clement Construction Company and being held by the City for the completion of Leighton Hollow, Lot 8A, 9A, 10A, 11A and 18A in the amount of \$10,232.05.

The attached deposit account summary form details the total Construction Deposit amounts being held by the City for and the amount recommended for this release.

If you have any questions, please feel free to contact me.

Sincerely,

KEHOE ENGINEERING COMPANY INC.

A handwritten signature in black ink that reads 'Luke R. Kehoe'. The signature is written in a cursive, flowing style.

Luke R. Kehoe, P.E., CFM, LEED AP
City Engineer

Attachment

cc: Board of Aldermen
Kim Clark, City Clerk
Jeff Amelong, Building Code Official
Kathy Clement, Clement Construction Company

DARDENNE



www.DardennePrairie.org

City Engineer
 Phone 636.978.6008
 Fax 636.898.0923
 Engineer@DardennePrairie.org

City Hall
 2032 Hanley Road
 Dardenne Prairie, MO 63368
 Phone 636.561.1718
 Fax 636.625.0077

Deposit Account Summary
970049 Leighton Hollow Subdivision

Release No.: 1

Last Updated: 6/24/2015

Lot 1A, 2A, 4A, 5A, 7A, 8A, 9A, 10A, 11A, 13A, 17A and 18A:

Lot No.	Deposit Posted		Bldg. Dept. Issued Occ. Permit	Previously Released Deposit	Recommended		Lot No.
	By Clement Construction Co. Date	Amount			Reimbursed This Period	Released To Date	
1A	10/29/2013	\$ 2,273.79	04/29/2014	\$ -	\$ -	\$ -	1A
2A	-	\$ -	02/11/2013	\$ -	\$ -	\$ -	2A
4A	-	\$ -	03/21/2013	\$ -	\$ -	\$ -	4A
5A	12/12/2013	\$ 2,273.79	03/11/2013	\$ -	\$ -	\$ -	5A
7A	02/05/2013	\$ 2,273.79	08/28/2013	\$ -	\$ -	\$ -	7A
8A	08/29/2013	\$ 2,273.79	01/28/2014	\$ -	\$ 2,046.41	\$ 2,046.41	8A
9A	03/29/2013	\$ 2,273.79	07/11/2013	\$ -	\$ 2,046.41	\$ 2,046.41	9A
10A	08/07/2013	\$ 2,273.79	11/21/2013	\$ -	\$ 2,046.41	\$ 2,046.41	10A
11A	01/07/2013	\$ 2,273.79	05/14/2013	\$ -	\$ 2,046.41	\$ 2,046.41	11A
13A	05/01/2013	\$ 2,273.79	08/14/2013	\$ -	\$ -	\$ -	13A
17A	-	\$ -	12/27/2012	\$ -	\$ -	\$ -	17A
18A	10/29/2013	\$ 2,273.79	04/29/2014	\$ -	\$ 2,046.41	\$ 2,046.41	18A
Total	-	\$ 20,464.11	-	\$ -	\$ 10,232.05	\$ 10,232.05	

Lot 2A - no deposit per City Administrator 11/08/2012
 Lot 4A - no deposit per City Administrator 10/04/2012
 Lot 17A - no deposit per Board of Aldermen 08/02/2013

Approved for release by the City Engineer

Approved for release by the Board of Aldermen

Prepared by: *Luke R. Kehoe*
 Kehoe Engineering Company, Inc.
 Luke R. Kehoe, P.E., City Engineer

ORDINANCE NO. _____

AN ORDINANCE OF THE CITY OF DARDENNE PRAIRIE,
MISSOURI ESTABLISHING A PROCEDURE TO DISCLOSE
POTENTIAL CONFLICTS OF INTEREST FOR CERTAIN
MUNICIPAL OFFICIALS

WHEREAS, the Missouri General Assembly adopted an ethics/personal financial disclosure law affecting political subdivisions with an annual operating budget in excess of \$1 million; and

WHEREAS, an amendment to this State law allowed political subdivisions to adopt their own personal financial disclosure requirements by ordinance;

WHEREAS, pursuant to RSMo Section 105.485.4, political subdivisions must biennially adopt the ordinance establishing their personal financial disclosure requirements; and

WHEREAS, the City of Dardenne Prairie, in September 2013, adopted Ordinance 1692 establishing its own requirements for personal financial disclosures by city officials and the time to renew that ordinance will expire in September 2015.

NOW THEREFORE, BE IT ORDAINED BY THE BOARD OF ALDERMEN OF THE CITY OF DARDENNE PRAIRIE, MISSOURI, AS FOLLOWS:

SECTION 1. Each elected official, the chief administrative officer, and the chief purchasing officer shall disclose the following information by May 1 of each year if any such transactions were engaged in during the previous calendar year:

- A. For such person, and all persons within the first degree of consanguinity or affinity of such person, the date and identities of the parties to each transaction, if any, with a total value in excess of five hundred dollars (\$500) that such person had with the City of Dardenne Prairie, other than compensation received as an employee or payment of any tax, fee or penalty due to the City of Dardenne Prairie, and other than transfers for no consideration to the City of Dardenne Prairie.
- B. The date and identities of the parties to each transaction, if any, known with a total value in excess of five hundred dollars (\$500) that any business entity in which such person had a substantial interest, and with the City of Dardenne Prairie, other than payment of any tax, fee reimbursement for expenditures made on behalf of the City of Dardenne Prairie or penalty due to the City of Dardenne Prairie or transactions involving payment for providing utility service to the City of Dardenne Prairie, and other transfers for no consideration to the City of Dardenne Prairie.
- C. The chief administrative officer and the chief purchasing officer also shall disclose by May 1 of each year for the previous calendar year the following information:

- (1) The name and address of each of the employers of such person from whom income of one thousand dollars (\$1,000) or more was received during the year covered by the statement;
- (2) The name and address of each sole proprietorship that the owned; the name, address and the general nature of the business conducted of each general partnership and joint venture in which he was a partner or participant; the name and address of each partner or co-participant for each partnership, joint venture or trust unless such names and addresses are filed by the partnership, joint venture or trust with the secretary of state; the name, address and general nature of the business conducted of any closely held corporation, general or limited partnership, or trust in which the person owned or has a beneficial interest in ten percent (10%) or more of any class of the outstanding stock or partnership units both general and limited in the aggregate, or corpus or income interest of a trust; and the name of any publicly traded corporation or partnership that is listed on a regulated stock exchange or automated quotation system in which the person owned two percent (2%) or more of any class of outstanding stock, partnership units or other equity interests;
- (3) The name and address of each corporation for which such person served in the capacity of a director, officer or receiver.

D. Filing of Statements. The statements, in substantially the format on file with the City Clerk, shall be filed with the City Clerk and the Missouri Ethics Commission in compliance with Sections 105.483 to 105.496, RSMo., as amended. The financial interest statements shall be filed at the following times, but no person is required to file more than one financial interest statement in any calendar year:

- (1) Each person appointed to office shall file the statement within thirty (30) days of such appointment or employment;
- (2) Every other person required to file a financial interest statement shall file the statement annually not later than May 1 and the statement shall cover the calendar year ending the immediately preceding December 31; provided that any member of the Board of Aldermen shall supplement the financial interest statement to report additional interests acquired after December 31 of the covered year until the date of filing of the financial interest statement.

- (3) Each candidate for elective office who is required to file a personal financial disclosure statement shall file a financial interest statement no later than fourteen days after the close of filing at which the candidate seeks nomination or election, and the statement shall be for the twelve months prior to the closing date, except that in the event an individual does not become a candidate until after the date of certification for candidates, the statement shall be filed within fourteen days of the individual becoming a candidate. The City Clerk shall provide to the candidate at the time of filing for election written notice of the candidate's obligation to file a financial statement, and the candidate shall sign a statement acknowledging receipt of such notice.

E. Penalties.

- (1) Any person required to file a financial interest statement pursuant to this Ordinance who fails to file such statement by the times required shall, if such person receives any compensation or other remuneration from public funds for the person's services, not be paid such compensation or receive such remuneration until the person has filed a statement as required by this Ordinance. Any person required to file a statement who fails to file such statement by the time required and continues to fail to file the required statement for thirty or more days after receiving notice from the Missouri Ethics Commission shall be subject to suspension from office in the manner otherwise provided by law or the constitution. The attorney general or circuit attorney, at the request of the Missouri Ethics Commission, may take appropriate legal action to enforce the provisions of this Ordinance.
- (2) If a candidate for office does not file a statement by the close of business on the twenty-first day after the last day for filing for election for which the person is a candidate, the Missouri Ethics Commission shall notify the official who accepted such candidate's declaration of candidacy that the candidate is disqualified. Such election official shall remove the candidate's name from the ballot.
- (3) Failure of any elected official or judge to file a financial interest statement thirty days after notice from the appropriate filing officer shall be grounds for removal from office as may be otherwise provided by law or the constitution.

SECTION 2. The City Clerk is hereby directed to send a certified copy of this ordinance to the Missouri Ethics Commission.

SECTION 3. Effective Date: This ordinance shall take effect and be in force from and after its passage by the Board of Aldermen and its approval by the Mayor of the City of Dardenne Prairie, Missouri.

SECTION 4. Severability Clause: If any term, condition, or provision of this Ordinance

shall, to any extent, be held to be invalid or unenforceable, the remainder hereof shall be valid in all other respects and continue to be effective and each and every remaining provision hereof shall be valid and shall be enforced to the fullest extent permitted by law, it being the intent of the Board of Aldermen that it would have enacted this Ordinance without the invalid or unenforceable provisions. In the event of a subsequent change in applicable law so that the provision which had been held invalid is no longer invalid, said provision shall thereupon return to full force and effect without further action by the City and shall thereafter be binding.

SECTION 5. Savings: Nothing contained herein shall in any manner be deemed or construed to alter, modify, supersede, supplant or otherwise nullify any other Ordinance of the City or the requirements thereof whether or not relating to or in any manner connected with the subject matter hereof, unless expressly set forth herein.

Read two (2) times and passed this ____ day of _____, 2015.

As Presiding Officer and as Mayor

Approved this ____ day of _____, 2015.

Mayor

Attest:

City Clerk